

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: H. C. Starck GmbH & Co. KGApplication No./Patent No.: 10/057027 Filed/Issue Date: January 24, 2002Entitled: ELECTROLUMINESCENT ARRANGEMENTSH. C. Starck GmbH & Co. KG, a \_\_\_\_\_  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Jonas, Friedrich, et al. To: BAYER AKTIENGESELLSCHAFT  
The document was recorded in the United States Patent and Trademark Office at Reel 012845, Frame 0060, or for which a copy thereof is attached.
2. From: BAYER AKTIENGESELLSCHAFT To: H. C. Starck GmbH  
The document was recorded in the United States Patent and Trademark Office at Reel 017924, Frame 0695, or for which a copy thereof is attached.
3. From: H. C. Starck GmbH To: Bayer Beteiligungsverwaltung Goslar GmbH  
The document was recorded in the United States Patent and Trademark Office at Reel 019604, Frame 0833, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Ashley I. Pezzner  
Signature8/9/07  
DateAshley I. Pezzner  
Printed or Typed Name(302) 658-9141  
Telephone NumberAuthorized Signer for Assignee  
Title

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**STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet**

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Bayer Beteiligungsverwaltung Goslar GmbH To: H. C. Starck GmbH & Co. KG  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019197 , Frame 0734 , or for which a copy thereof is attached.
5. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
6. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
7. From: \_\_\_\_\_ To: \_\_\_\_\_  
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Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
8. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
9. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.

**Statement Under 37 CFR 3.73(b) Supplemental Sheet**

H.C. Stark GmbH & Co. KG's entire business has been transferred to H.C. Starck GmbH by way of merger and accretion. H.C. Starck GmbH & Co. KG's previous operative business is now managed by H.C. Starck GmbH.

Attached is a copy of an excerpt from the commercial register of the deregistration H.C. Starck GmbH & Co. KG and a copy of the excerpt from the commercial register of the registration H.C. Starck GmbH. The applicant is in the process of recording this paper.

# Certified Translation from German

Commercial Register B of the Amtsgericht Braunschweig

Printout  
Fetched on 7<sup>th</sup> June 2007 at 13:52 hours

Page 1 of 2

Number of the Firm:

HRA 200162

Registration No.	a) Firm Name b) Domicile, Establishment, Branches c) Objective of the Company	a) General Representation b) Owners, General Partners, Managing Directors, Managing Board, Authorised Representatives and Special Power of Attorney	Prokura <sup>2</sup>	a) Legal Form, Commencement, Articles of Association b) Other Legal Relations c) Limited Partners, Members	a) Registration Date b) Remarks
1	a) Hermann C. Starck GmbH & Co. KG b) Goslar	a) Each general partner holds sole power or representation. Each general partner is entitled to transact legal business on behalf of the Partnership with himself in his own name or as representative of a third party. b) General partner: H.C. Starck Verwaltungs-GmbH, Goslar (Amtsgericht Braunschweig, HRB 200307).	4	a) Limited Commercial Partnership b) Limited partner: H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig, HRB 110660) Contribution: 10.000.00 EURO	a) 12/07/2006 b) Senfleben
2				b) Subject to the Spin-Off and Take-Over Agreement dated 15/09/2006 and to the resolutions of approval adopted in partners' meeting dated 15/09/2006 the Partnership has taken over transferring entity dated 15/09/2006 the Partnership has taken over parts of the assets of H.C. Starck GmbH with domicile in Goslar (Amtsgericht Braunschweig, HRB 110660) as a whole by way of transformation by spin-off. As to the assets to be spun-off it is hereby referred to the Spin-Off Agreement. The Spin-Off will not take effect before registration in the register folio of the transferring entity. c) After increase of the contribution by 990.000.00 EURO for the purpose of implementation of the spin-off of individual assets of H.C. Starck GmbH as transferring entity to Herrmann C. Starck GmbH & Co. KG as entity taking over and limited partner as follows: Limited partners: H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig, HRB 110660), contribution: 1.000.000.00 EURO	a) 25/09/2006 b) 26/09/2006 c) 26/09/2006 d) 26/09/2006 e) 26/09/2006 f) 26/09/2006 g) 26/09/2006 h) 26/09/2006 i) 26/09/2006 j) 26/09/2006 k) 26/09/2006 l) 26/09/2006 m) 26/09/2006 n) 26/09/2006 o) 26/09/2006 p) 26/09/2006 q) 26/09/2006 r) 26/09/2006 s) 26/09/2006 t) 26/09/2006 u) 26/09/2006 v) 26/09/2006 w) 26/09/2006 x) 26/09/2006 y) 26/09/2006 z) 26/09/2006 aa) 26/09/2006 ab) 26/09/2006 ac) 26/09/2006 ad) 26/09/2006 ae) 26/09/2006 af) 26/09/2006 ag) 26/09/2006 ah) 26/09/2006 ai) 26/09/2006 aj) 26/09/2006 ak) 26/09/2006 al) 26/09/2006 am) 26/09/2006 an) 26/09/2006 ao) 26/09/2006 ap) 26/09/2006 aq) 26/09/2006 ar) 26/09/2006 as) 26/09/2006 at) 26/09/2006 au) 26/09/2006 av) 26/09/2006 aw) 26/09/2006 ax) 26/09/2006 ay) 26/09/2006 az) 26/09/2006 ba) 26/09/2006 bb) 26/09/2006 bc) 26/09/2006 bd) 26/09/2006 be) 26/09/2006 bf) 26/09/2006 bg) 26/09/2006 bh) 26/09/2006 bi) 26/09/2006 bj) 26/09/2006 bk) 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Regi- stration No.	a) Firm Name b) Domicile, Establishment, Branches		a) General Representation b) Owners, General Partners, Managing Directors, Managing Board, Authorised Representatives and Special Power of Attorney		Prokura <sup>2</sup>		a) Legal Form, Commencement, Articles of Association b) Other Legal Relations c) Limited Partners, Members			a) Registration Date b) Remarks	
	2		3		4		5			6	
1											
5							<p>c) By way of subrogation of Bayer Beteiligungsverwaltung Goslar GmbH joined as limited partner:  <u>limited partner:</u>  <u>Drachenfelssee 482 VV GmbH, Frankfurt a.M. (Amtsgericht Frankfurt a.M.,<sup>3</sup> HRB 78950), contribution 1.000.000,00 EUR</u>  <u>Withdrawal as limited partner:</u>  <u>Withdrawn limited partner:</u>  <u>Bayer Beteiligungsverwaltung Goslar GmbH, Goslar (Amtsgericht Braunschweig HRB 110650)</u></p>			<p>a) 28/02/2007 Schult</p>	
							<p>b) The partnership assets, i.e. all of the assets and liabilities, passed to the sole limited partner H.C. Starck GmbH by way of accrual. H.C. Starck GmbH will not continue the firm. So the partnership has been dissolved and has expired without liquidation.</p>			<p>a) 07/06/2007 Pahldeek</p>	
							<p>c) Due to the transfer of the domicile from Frankfurt am Main to Goslar and due to the change of the firm name now limited partner:  <u>H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig HRB200743), Contribution: 1.000.000,00 EURO.</u></p>				

<sup>1</sup>Approximately Braunschweig Local Court

<sup>2</sup>Approx. General Commercial Power of Attorney

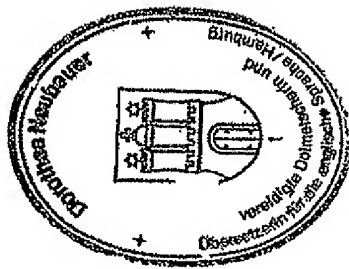
<sup>3</sup>Approximately Frankfurt Local Court  
(translator's notes)

The above translation is certified to be true and complete. The German text submitted for translation was the attached printout (2 pages).

Hamburg, 23 June 2007

*D. Neubauer*

Dorothea Neubauer  
Sworn translator of the Free and  
Hanseatic City of Hamburg



# Translation from German

Commercial Register B of the Amtsgericht Braunschweig										Printout		Page 1 of 2		Number of the Firm: HRB 200743	
Fetched on 29 <sup>th</sup> May 2007 at 15:27 hours										Prokura <sup>3</sup>		a) Memorandum and Articles of Association b) Other Legal Relations		a) Registration Date and Confirmation b) Remarks	
Regi- stration No.	a) Firm Name b) Domicile c) Objective of the Company	Nominal or Share Capital			a) General Representation b) Board of Management, Governing Body, Managing Directors, General Partner, Chief Executives, Authorised Representatives and Special Power of Attorney										
1	a) H.C. Starck GmbH b) Goslar c) The objective of the Company is the acquisition and holding of interests and the provision of services against payment to third parties and associated companies, in particular to holding and sister companies. These services may include, among others, management, brokerage, marketing and consulting services and also financial services. Within the scope of the financial services the Company may particularly finance its subsidiaries by granting them members' loans or it may arrange for credits by third parties, however, the Company may not perform banking activities within the meaning of the Banking Act. The services may be rendered both by the Company's own employees and by the resale of purchased services.	3 10,000,000.0 EUR													
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Commercial Register B of the Amtsgericht Braunschweig										Printout		Page 2 of 2		Number of the Firm: HRB 200743	
Regi- stration No.	a) Firm Name b) Domicile c) Objective of the Company		Nominal or Share Capital	a) General Representation b) Board of Management, Governing Body, Managing Directors, General Partner, Chief Executives, Authorised Representatives and Special Power of Attorney		Prokura	a) Memorandum and Articles of Association b) Other Legal Relations		a) Registration Date and Con- firmation b) Remarks						
	1	2		3	4		5	6	7						
2							b) With H.C. Starck Holding (Germany) with domicile in Goslar (Amtsgericht Braunschweig HRB 200744) as controlling company a profit transfer agreement was concluded on 24 <sup>th</sup> April 2007. This agreement was approved by the Shareholders' Meeting on 25 <sup>th</sup> April 2007.		10 <sup>th</sup> May 2007 Rösel						
3				b) Ceased to be Managing Director: <u>Böhm, Gregor, Munich, * 13/10/1964</u>  Ceased to be Managing Director: <u>Plumpe, Wilhelm, Bad Homburg v.d. Höhe, * 15/09/1952</u>  Appointed as Managing Director: <u>Dr. Heumüller, Heinz, Goslar, * 08/02/1951</u> , holding sole power of representation, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party.  Appointed as Managing Director: <u>Jung, Ernst-Norbert, Bad Harzburg, * 10/03/1950</u> , holding sole power of representation, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party.				a) 23/05/2007/Fahl dieck							
4							b) As acquiring legal entity the Company, subject to the Merger Agreement dated 03/05/2007 and the approvals of the shareholders' meeting dated 03/05/2007 and the shareholders' meeting of the transferring legal entity dated 03/05/2007 has been merged with H.C. Starck Verwaltungs-GmbH with domicile in Goslar (Amtsgericht Braunschweig, HRB 200307).		29/05/2007 Fahldieck						



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<sup>1</sup> Approximately Braunschweig Local Court

<sup>2</sup> Holder of Prokura, i.e. of General Commercial Power of Attorney

<sup>3</sup> Approx. General Commercial Power of Attorney

<sup>4</sup> Approximately Bonn Local Court

<sup>5</sup> Approximately Frankfurt Local Court  
(translator's notes)

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Translated by

Dorothea Neubauer, Hamburg